

Remote exams
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Capital Markets Programme

What is the Capital Markets Programme?

The Capital Markets Programme is a wide ranging group of exams designed to meet the requirements of individuals working in the securities and derivatives markets within the UK and internationally.

The Programme offers you flexibility, allowing you to choose your technical unit covering either Securities or Derivatives.

The CISI developed the Programme with representatives from leading global financial services firms, both capital markets / wholesale and retail and with input from AFME, PIMFA, AIC, FIA Global and accredited training partners to meet the needs of the changing industry.

Who should study the Programme?

It is suitable for practitioners involved in the securities and / or derivatives sectors.

This entry-level qualification for the Capital Markets / Wholesale Sector is increasingly used as a benchmarking tool by major banks and investment firms to ensure that staff possess practical knowledge which can be immediately applied to business activities.

No previous qualifications are required.

Key features

- **Best-practice** benchmark qualification for the capital markets / wholesale sector
- **Specialist input** from leading practitioners
- **Globally portable**, reflecting the needs of an international market place
- **Streamlined syllabuses**, focusing on key concepts and principles
- **Student membership** - become associated with a chartered professional body and take advantage of an extensive range of benefits
- **ACSI designatory letters** - upon successfully achieving the Capital Markets Programme you will be eligible for Associate membership of the Institute
- Exams available online via **remote invigilation** or at one of our **global test centres**

Integrity & Ethics Requirement for the Capital Markets Programme

Exam candidates in the UK, Ireland, Europe and North America taking any unit within the Capital Markets Programme must have obtained a pass in **IntegrityMatters** as a pre-requisite to sitting the exam.

IntegrityMatters is an online integrity test designed to highlight ethical dilemmas which you might face at work. Find out more at cisi.org/integritymatters

How is the qualification structured?

The Capital Markets Programme comprises a modular exam structure of two units – a regulatory unit and a technical unit.

A Regulatory unit (study time 100/80 hours)

- UK Financial Regulation
- Local regulatory unit for candidates regulated outside of the UK

A Technical unit (study time 100 hours)

- Securities • Derivatives

Studying for the Capital Markets Programme

You can choose to self-study for the exams using CISI learning manuals and elearning or have formal training with one of our Accredited Training Partners (ATP). To find an ATP near you visit, cisi.org/atp

The CISI's learning manual policy requires, where available, the most up-to-date CISI learning manual to be purchased when booking an exam, including by an ATP on your behalf. This applies to all candidates sitting CISI exams in the UK (includes Northern Ireland, Guernsey, Jersey and Isle of Man). Candidates sitting CISI exams outside of the UK will receive the most up-to-date learning manual for that subject in PDF format and this is included in the international exam price.

Candidates who are not currently CISI members will receive one year's free CISI student membership and enjoy access to a number of benefits designed to offer learning support. Visit cisi.org/membership

Assessment

Both regulatory and technical units are successfully completed by passing a multiple-choice exam up to two hours long. Find out more at cisi.org/cmp

Exams can be taken remotely or at one of our Computer Based Testing (CBT) centres. Visit cisi.org/cbt to find your nearest test venue and cisi.org/remotexams to find out more about sitting remotely.

Book your exam

Online – cisi.org/cmp
 By phone – +44 20 7645 0777
 Via email – customersupport@cisi.org

Don't forget to set your results preferences afterwards at cisi.org/resultsdashboard

Visit cisi.org/prices for current prices

Next steps

CISI Membership

If you successfully complete the Capital Markets Programme you will be eligible for Associate membership of the CISI and you will be entitled to use the designatory letters, ACSI. You can ultimately strive to achieve personal chartered status, the pinnacle of CISI membership. Visit cisi.org/membership

Diploma in Capital Markets

The qualification is the final step in the CISI's capital markets study pathway and offers individuals the opportunity to gain specialist knowledge of financial markets, bond and fixed income and fund management. Visit cisi.org/dcm